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| FORM PTO-1449 | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTORNEY DOCKET NO. 826.1930 | APPLICATION NO. Unassigned 101776059 |
| LIST OF REFERENCES CITED BY APPLICANT <i>(Use several sheets if necessary)</i> | | FIRST NAMED INVENTOR Shinji KIKUCHI et al. | |
| | | FILING DATE (Concurrently) | GROUP ART UNIT Unassigned |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NO. | DATE | NAME | CLASS | SUB-CLASS | FILING DATE |
|------------------|--------------|------|------|-------|-----------|-------------|
| | AA | | | | | |
| | AB | | | | | |
| | AC | | | | | |
| | AD | | | | | |
| | AE | | | | | |
| | AF | | | | | |

FOREIGN PATENT DOCUMENTS

| DOCUMENT NO. | DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION YES NO | |
|--------------|------|---------|-------|-----------|-----------------------|--|
| AG | | | | | | |
| AH | | | | | | |
| AI | | | | | | |
| AJ | | | | | | |

OTHER REFERENCES (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

| EXAMINER INITIAL | DOCUMENT NO. | REFERENCE | TRANSLATION YES NO | |
|------------------|--------------|--|-----------------------|--|
| | AL | S. Waldbusser, "Remote Network Monitoring Management Information Base", Network Working Group RFC 1757, February 1995, pp. 1-68. | | |
| | AM | Network Associates, "Sniffer Technologies", Network Associates printed from World Wide Web July 18, 2003. | X | |
| | AN | J. Cao, et al., "Time-Varying Tomography: Router Link Data", Journal of the American Statistical Association, 2000, pp. 1-15. | | |
| | AO | C. Tebaldi, et al., "Bayesian Inference on Network Traffic Using Link Count Data", Journal of the American Statistical Association, June 1998, pp. 1-28. | | |

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Sheet 1 of 1

| | | |
|---|---|--------------------------------------|
| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | ATTORNEY DOCKET NO. 826.1930 | APPLICATION NO. 10/796,059 |
| | FIRST NAMED INVENTOR Shinji KIKUCHI | |
| | FILING DATE March 4, 2004 | GROUP ART UNIT 2616 |
| | | |

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NO. | DATE | NAME | CLASS | SUB- CLASS | FILING DATE |
|----------------------|----|-----------------|------------|----------|-------|---------------|----------------|
| <i>PK</i> | AA | 6,009,080 | 12/28/1999 | HANAZAWA | | | |
| <i>PK</i> | AB | 6,141,326 | 10/31/2000 | MINAMI | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NO. | DATE | COUNTRY | TRANSLATION YES NO | | ABSTRACT |
|-----------|----|-----------------|------------|---------|-----------------------|---|----------|
| <i>PK</i> | AG | 11-127155 | 05/11/1999 | JAPAN | | X | |
| <i>PK</i> | AH | 11-261570 | 09/24/1999 | JAPAN | | X | |
| | AI | | | | | | |
| | AJ | | | | | | |
| | AK | | | | | | |
| | AL | | | | | | |

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

TRANSLATION
YES NO

| | | | | |
|--|----|--|--|--|
| | AM | | | |
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|---|-----------------------------------|
| EXAMINER <i>[Signature]</i> | DATE CONSIDERED <i>4/30/07</i> |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |

**ATTACHMENT 1(e)**

| | | |
|--|----------------------|-----------------|
| EXPLANATIONS OF RELEVANCY OF REFERENCES | ATTORNEY DOCKET NO. | APPLICATION NO. |
| | 826.1930 | 10/796,059 |
| | FIRST NAMED INVENTOR | |
| | Shinji KIKUCHI | |
| | FILING DATE | GROUPART UNIT |
| | March 4, 2004 | 2616 |

JS Japanese Published Application No. 11-127155 (Reference AG) corresponds to U.S. Patent No. 6,141,325 (Reference AB).

K Japanese Publication Application No. 11-261570 (Reference AH) corresponds to U.S. Patent No. 6,009,080 (Reference AA).

He 1/16 9/13/07



ATTACHMENT 1(g)

| | | |
|---|----------------------|-----------------|
| LIST OF ADDITIONAL SUBMITTED DOCUMENTS | ATTORNEY DOCKET NO. | APPLICATION NO. |
| | 826.1930 | 10/796,059 |
| | FIRST NAMED INVENTOR | |
| | Shinji KIKUCHI | |
| | FILING DATE | GROUP PART UNIT |
| | March 4, 2004 | 2616 |

The following document(s) is/are listed in accordance with the duty of disclosure provisions of 37 CFR § 1.56, so that the Examiner may consider same should he deem any thereof to be material to examination of the subject application.

It is requested that the Examiner acknowledge his consideration of document(s) below-listed by initialing same in the space provided adjacent each such application and that the Examiner sign and date this form at the bottom thereof to confirm such consideration having been given.

This submission in no way represents an admission that any of the information listed herein constitutes prior art with respect to the subject application; and unless and until such prior art status is established, this submission is not a request that the information presented herein be printed on the face of any patent issuing from the subject application in which this information is being filed.

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NO. | DATE | NAME | CLASS | SUB- CLASS | FILING DATE |
|----------------------|----|-----------------|------|------|-------|---------------|----------------|
| | AA | | | | | | |
| | AB | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUB- CLASS | TRANSLATION YES NO | |
|--|----|-----------------|------|---------|-------|---------------|-----------------------|--|
| | AC | | | | | | | |
| | AD | | | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | | | | | | TRANSLATION YES NO | |
|--|----|---|--|--|--|--|-----------------------|--|
| | AE | Notice of Rejection Grounds (Office Action) for Japanese Patent Application No. 2003-296768 mailed July 18, 2006. | | | | | partial | |

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|----------|-------------------------|
| EXAMINER | DATE CONSIDERED 4/30/07 |
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*EXAMINER: Initial if document considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.